

BEHAVIORAL SCIENCES REGULATORY BOARD

	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022	Agency Req. FY 2023	Gov. Rec. FY 2023
Operating Expenditures:							
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	848,387	959,271	959,271	959,145	959,145	968,062	968,062
<i>Subtotal</i>	<i>\$ 848,387</i>	<i>\$ 959,271</i>	<i>\$ 959,271</i>	<i>\$ 959,145</i>	<i>\$ 959,145</i>	<i>\$ 968,062</i>	<i>\$ 968,062</i>
Capital Improvements:							
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Funds	0	0	0	0	0	0	0
<i>Subtotal</i>	<i>\$ 0</i>	<i>\$ 0</i>	<i>\$ 0</i>	<i>\$ 0</i>	<i>\$ 0</i>	<i>\$ 0</i>	<i>\$ 0</i>
TOTAL	\$ 848,387	\$ 959,271	\$ 959,271	\$ 959,145	\$ 959,145	\$ 968,062	\$ 968,062

Percentage Change:

Operating Expenditures							
State General Fund	-- %	-- %	-- %	-- %	-- %	-- %	-- %
All Funds	20.3	13.1	13.1	(0.0)	(0.0)	0.9	0.9
FTE Positions	9.0	9.0	9.0	9.0	9.0	9.0	9.0

For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

AGENCY OVERVIEW

The Behavioral Sciences Regulatory Board (BSRB) was created by the 1980 Legislature to regulate the practice of psychology and social work in Kansas. The Board's jurisdiction has expanded to include the licensing of professional counselors, masters-level psychologists, psychotherapists, marriage and family therapists, alcohol and other drug abuse counselors, and behavior analysts. The Board derives its

funding entirely through licensing and registration fees assessed to its credentialed practitioners.

The 12 members of the Board include 2 licensed psychologists, 2 licensed social workers, 1 licensed professional counselor, 1 licensed masters-level psychologist, 1 licensed marriage and family therapist, 1 licensed addiction counselor or licensed clinical addiction counselor, and 4 public members. The Governor appoints all Board members to serve four-year terms.

MAJOR ISSUES FROM PRIOR YEARS

The **2013 Legislature** changed how fees are paid for licensure examinations under the Licensure of Psychologists Act, KSA 74-5301 *et seq.*, which requires individuals to pay testing costs directly to the examination service in fiscal year (FY) 2014.

The **2014 Legislature** passed HB 2744, which included the Applied Behavior Analysis Licensure Act. This act established a new category of licensure for behavioral analysts and changed rules related to insurance coverage for treatment of autism spectrum disorders. The Board began licensing behavioral analysts on July 1, 2016.

The **Governor's July 30, 2015 allotment** transferred \$500,000 from the Behavioral Sciences Regulatory Board Fee Fund to the State General Fund (SGF).

The **2016 Legislature** passed HB 2615, which standardized regulatory statutes administered by the Board, including provisions pertaining to licensure by reciprocity, the reasons for disciplinary action against a licensee, and the licensure fees charged by the Board. The bill further allowed the Board to

require fingerprinting and background checks on licensees, established supervisory training standards for professional counselors and marriage and family therapists, and created a new category of licensure for Masters-Level Addiction Counselors. Additionally, the bill grandfathered in credentialed or registered alcohol and other drug counselors who comply with specific requirements prior to July 1, 2017.

The **2017 Legislature** added funding for a 2.5 percent adjustment for all state employees with less than five years of service (except for Highway Patrol law enforcement personnel, legislators, teachers, and licensed personnel and employees at the Kansas State Schools for the Deaf and the Blind, employees at the Kansas Bureau of Investigation who are part of the Recruitment and Retention Plan, and other statewide elected officials); a 5.0 percent adjustment for state employees who have not had a pay adjustment in five years; and a 2.5 percent adjustment for judges and non-judicial staff for FY 2018 and FY 2019. For the BSRB, the Legislature added \$5,623, all from special revenue funds, for both FY 2018 and FY 2019.

The **2018 Legislature** passed SB 386 to allow licensure for applicants who earned a graduate degree in a counseling-related field as long as all the remaining qualifications set forth in statute are met. The bill also clarified that the licensure requirement of 45 graduate semester hours in various areas set forth in statute is counseling coursework.

In addition, the **2018 Legislature** added \$11,929, all from special revenue funds, for salary adjustments for FY 2019 equivalent to two steps on the Statewide Pay Matrix for employees who did not receive a salary adjustment as part of the 2017 Salary Initiatives and one step for employees who received approximately one step on the Statewide Pay Matrix in FY 2018.

The **2019 Legislature** passed SB 15 to allow for licensure by reciprocity for social workers at baccalaureate, master's, and specialist clinical levels; amended requirements for licensure by reciprocity for other professions regulated by the BSRB; provided for provisional licenses; amended provisions related to temporary licenses; clarified the use of professional titles; amended statutes in several named acts for professions regulated by the BSRB; and amended the licensure requirements for a specialist clinical social worker.

In addition, the **2019 Legislature** added \$12,051, all from special revenue funds, for a 2.5 percent salary adjustment for most state employees for FY 2020.

BUDGET SUMMARY AND KEY POINTS

FY 2021 Agency Estimate

The **agency** estimates FY 2021 revised expenditures of \$959,271, all from special revenue funds. This is the same amount approved by the 2020 Legislature. Within the revised estimate, the agency decreased salary and wages

expenditures, offset by increased expenditures for contractual services. The agency requests 9.0 FTE positions, which is the same as the approved number.

FY 2021 Governor Recommendation

The **Governor** concurs with the agency's revised estimate in FY 2021.

FY 2022 Agency Request

The **agency** requests FY 2022 expenditures of \$959,145, all from special revenue funds. This is a decrease of \$126, or less than 0.1 percent, below the FY 2021 revised estimate. Within the request, the agency increased capital outlay and salary and

wages expenditures, which are partially offset by decreased expenditures for contractual services and commodities. The agency requests 9.0 FTE positions, which is the same as the FY 2021 revised estimate.

FY 2022 Governor Recommendation

The **Governor** concurs with the agency's request for FY 2022.

FY 2023 Agency Request

The **agency** requests FY 2023 expenditures of \$968,062, all from special revenue funds. This is an increase of \$8,917, or 0.9 percent, above the FY 2022 request. The increase is primarily attributable to increased expenditures for group health

insurance, building rent, and office equipment. The agency requests 9.0 FTE positions, which is the same as the FY 2022 request.

FY 2023 Governor Recommendation

The **Governor** concurs with the agency's request for FY 2023.

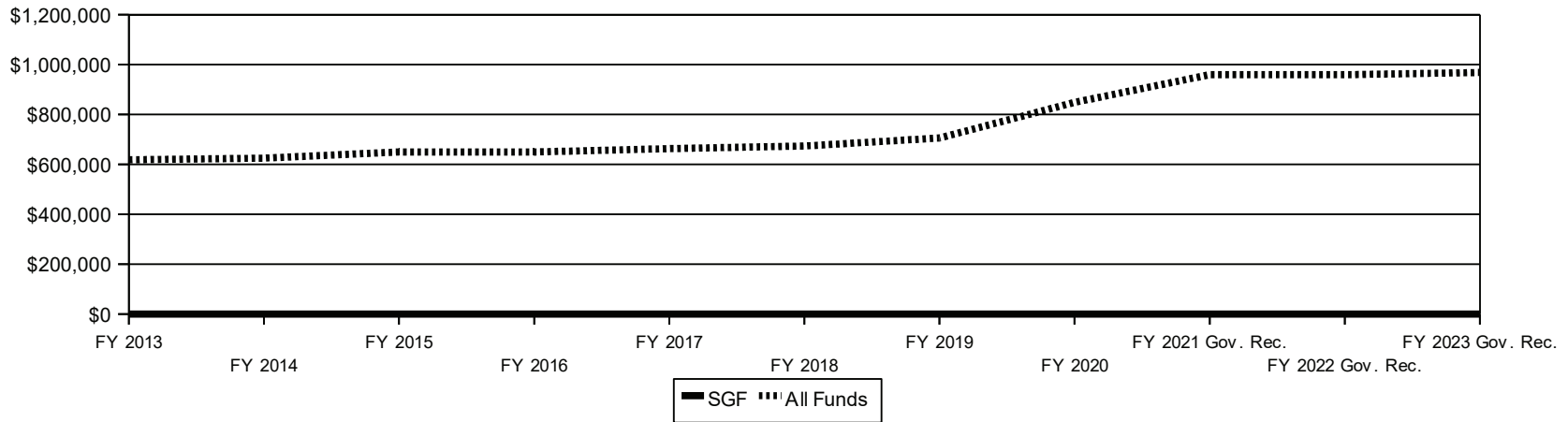
PERFORMANCE MEASURES

The 2016 Legislature passed HB 2739, which outlined a three-year process for state agencies to develop and implement a system of performance budgeting using outcome measures to evaluate program effectiveness. Measures to evaluate agency-wide performance are presented below. Additional measures to evaluate specific programs appear in the relevant program sections.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Percent of Renewal Applications Processed within 30 Days	91.0 %	95.0 %	96.0 %	85.0 %	98.0 %	98.0 %
Percent of Audits for Continuing Education Requirements in Compliance with Statutes and Regulations	75.0 %	80.0 %	78.0 %	80.0 %	82.0 %	85.0 %
Number of Reports of Alleged Violations Received	126	201	182	199	195	188
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 662.9	\$ 673.5	\$ 939.9	\$ 848.4	\$ 959.3	\$ 959.1
FTE Positions	9.0	9.0	9.0	9.0	9.0	9.0

BUDGET TRENDS

OPERATING EXPENDITURES FY 2013 – FY 2023



Fiscal Year	SGF	Percent Change	All Funds	Percent Change	FTE
2013	\$ 0	-- %	\$ 618,070	(1.7)%	9.0
2014	0	--	624,529	1.0	9.0
2015	0	--	649,634	4.0	9.0
2016	0	--	649,313	(0.0)	9.0
2017	0	--	662,913	2.1	9.0
2018	0	--	673,485	1.6	9.0
2019	0	--	705,352	4.7	9.0
2020	0	--	848,387	20.3	9.0
2021 Gov. Rec.	0	--	959,271	13.1	9.0
2022 Gov. Rec.	0	--	959,145	(0.0)	9.0
2023 Gov. Rec.	0	--	968,062	0.9	9.0
Eleven-Year Change	\$ 0	-- %	\$ 349,992	56.6 %	0.0

Summary of Operating Budget FY 2020 – FY 2022

	Actual FY 2020	Agency Estimate				Governor's Recommendation			
		Estimate FY 2021	Request FY 2022	Dollar Change from FY 21	Percent Change from FY 21	Rec. FY 2021	Rec. FY 2022	Dollar Change from FY 21	Percent Change from FY 21
By Program:									
Licensing and Renewal	\$ 781,695	\$ 820,650	\$ 817,148	\$ (3,502)	(0.4) %	\$ 820,650	\$ 817,148	\$ (3,502)	(0.4) %
Investigation and Discipline	65,832	138,621	141,997	3,376	2.4	138,621	141,997	3,376	2.4
COVID-19 Transactions	860	0	0	0	--	0	0	0	--
TOTAL	\$ 848,387	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %
By Major Object of Expenditure:									
Salaries and Wages	\$ 544,822	\$ 603,397	\$ 605,039	\$ 1,642	0.3 %	\$ 603,397	\$ 605,039	\$ 1,642	0.3 %
Contractual Services	294,284	340,749	338,356	(2,393)	(0.7)	340,749	338,356	(2,393)	(0.7)
Commodities	7,745	11,025	8,700	(2,325)	(21.1)	11,025	8,700	(2,325)	(21.1)
Capital Outlay	1,536	4,100	7,050	2,950	72.0	4,100	7,050	2,950	72.0
Debt Service	0	0	0	0	--	0	0	0	--
<i>Subtotal - Operations</i>	<i>\$ 848,387</i>	<i>\$ 959,271</i>	<i>\$ 959,145</i>	<i>\$ (126)</i>	<i>(0.0) %</i>	<i>\$ 959,271</i>	<i>\$ 959,145</i>	<i>\$ (126)</i>	<i>(0.0) %</i>
Aid to Local Units	0	0	0	0	--	0	0	0	--
Other Assistance	0	0	0	0	--	0	0	0	--
TOTAL	\$ 848,387	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %
Financing:									
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	-- %	\$ 0	\$ 0	\$ 0	-- %
BSRB Fee Fund	847,527	959,271	959,145	(126)	(0.0)	959,271	959,145	(126)	(0.0)
Coronavirus Relief Fund	860	0	0	0	--	0	0	0	--
TOTAL	\$ 848,387	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %	\$ 959,271	\$ 959,145	\$ (126)	(0.0) %

Summary of Operating Budget FY 2022 – FY 2023

	Agency Estimate				Governor's Recommendation			
	Request FY 2022	Request FY 2023	Dollar Change from FY 22	Percent Change from FY 22	Rec. FY 2022	Rec. FY 2023	Dollar Change from FY 22	Percent Change from FY 22
By Program:								
Licensing and Renewal	\$ 817,148	\$ 825,389	\$ 8,241	1.0 %	\$ 817,148	\$ 825,389	\$ 8,241	1.0 %
Investigation and Discipline	141,997	142,673	676	0.5	141,997	142,673		
COVID-19 Transactions	0	0	0	--	0	0	0	--
TOTAL	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %
By Major Object of Expenditure:								
Salaries and Wages	\$ 605,039	\$ 609,059	\$ 4,020	0.7 %	\$ 605,039	\$ 609,059	\$ 4,020	0.7 %
Contractual Services	338,356	341,178	2,822	0.8	338,356	341,178	2,822	0.8
Commodities	8,700	9,225	525	6.0	8,700	9,225	525	6.0
Capital Outlay	7,050	8,600	1,550	22.0	7,050	8,600	1,550	22.0
Debt Service	0	0	0	--	0	0	0	--
<i>Subtotal - Operations</i>	<i>\$ 959,145</i>	<i>\$ 968,062</i>	<i>\$ 8,917</i>	<i>0.9 %</i>	<i>\$ 959,145</i>	<i>\$ 968,062</i>	<i>\$ 8,917</i>	<i>0.9 %</i>
Aid to Local Units	0	0	0	--	0	0	0	--
Other Assistance	0	0	0	--	0	0	0	--
TOTAL	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %
Financing:								
State General Fund	\$ 0	\$ 0	\$ 0	-- %	\$ 0	\$ 0	\$ 0	-- %
BSRB Fee Fund	959,145	968,062	8,917	0.9	959,145	968,062	8,917	0.9
TOTAL	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %	\$ 959,145	\$ 968,062	\$ 8,917	0.9 %

BUDGET OVERVIEW

A. FY 2021 – Current Year

Adjustments to Approved State General Fund Budget

The agency’s revised estimate does not include any State General Fund (SGF) expenditures.

	CHANGE FROM APPROVED BUDGET				
	Legislative Approved FY 2021	Agency Estimate FY 2021	Agency Change from Approved	Governor Rec. FY 2021	Governor Change from Approved
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	959,271	959,271	0	959,271	0
TOTAL	\$ 959,271	\$ 959,271	\$ 0	\$ 959,271	\$ 0
FTE Positions	9.0	9.0	0.0	9.0	0.0

The **agency** requests a FY 2021 revised estimate of \$959,271, all from special revenue funds. This is the same amount approved by the 2020 Legislature. Within the revised estimate, the agency decreased salary and wages expenditures, offset by increased expenditures for contractual services. The agency requests 9.0 FTE positions, which is the same as the approved number.

The revised estimate is detailed below by major category of expenditure:

- **Salaries and Wages.** The agency estimates revised FY 2021 salaries and wages expenditures of \$603,397, which is a decrease of \$35, or less than 0.1 percent, below the FY 2021 approved amount. This decrease is due to decreased expenditures on Kansas Public Employees Retirement System (KPERs) and group health insurance, partially offset by an increase in temporary employee wages;
- **Contractual Services.** The agency estimates revised FY 2021 contractual services

expenditures of \$340,749, which is an increase of \$35, or less than 0.1 percent, above the approved amount. This increase is due to increased expenditures on attorney and computer services;

- **Commodities.** The agency estimates revised FY 2021 commodities expenditures of \$11,025, which is the same as the FY 2021 approved amount; and

- **Capital Outlay.** The agency estimates revised FY 2021 capital outlay expenditures of \$4,100, which is the same as the FY 2021 approved amount.

The **Governor** concurs with the agency's revised estimate in FY 2021.

B. FY 2022 – Budget Year

FY 2022 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 959,145	\$ 959,145	\$ 0
FTE Positions	9.0	9.0	0.0
Change from FY 2021:			
<i>Dollar Change:</i>			
State General Fund	\$ 0	\$ 0	
All Other Funds	(126)	(126)	
TOTAL	\$ (126)	\$ (126)	
<i>Percent Change:</i>			
State General Fund	0.0 %	0.0 %	
All Other Funds	(0.0)	(0.0)	
TOTAL	(0.0) %	(0.0) %	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2022 expenditures of \$959,145, all from special revenue funds. This is a decrease of \$126, or less than 0.1 percent, below the FY 2021 revised estimate. Within the request, the agency increased capital outlay and salaries and wages expenditures, which are more than offset by decreased expenditures for contractual services and commodities. The agency requests 9.0 FTE positions, which is

the same as the agency's FY 2021 revised estimate. The request is detailed below by major category of expenditure:

- **Salaries and Wages.** The agency requests FY 2022 salaries and wages expenditures of \$605,039, which is an increase of \$1,642, or 0.3 percent, above the FY 2021 revised estimate.

This increase is due to increases for group health insurance and KPERs;

- **Contractual Services.** The agency requests FY 2022 contractual services expenditures of \$338,356, which is a decrease of \$2,393, or 0.7 percent, below the FY 2021 revised estimate. The decrease is due to decreased expenditures on building rent and computer services;
- **Commodities.** The agency requests FY 2022 commodities expenditures of \$8,700, which is a decrease of \$2,325, or 21.1 percent, below the

FY 2021 revised estimate. The decrease is due to decreased expenditures on office supplies; and

- **Capital Outlay.** The agency requests FY 2022 capital outlay expenditures of \$7,050, which is an increase of \$2,950, or 72.0 percent, above the FY 2021 revised estimate. This increase is due to increased expenditures on office furniture and computer equipment.

The **Governor** concurs with the agency's request for FY 2022.

C. FY 2023 – Budget Year

FY 2023 OPERATING BUDGET SUMMARY			
	Agency Request	Governor's Recommendation	Difference
Total Request/Recommendation	\$ 968,062	\$ 968,062	\$ 0
FTE Positions	9.0	9.0	0.0
Change from FY 2022:			
<i>Dollar Change:</i>			
State General Fund	\$ 0	\$ 0	
All Other Funds	8,917	8,917	
TOTAL	\$ 8,917	\$ 8,917	
<i>Percent Change:</i>			
State General Fund	0.0 %	0.0 %	
All Other Funds	0.9	0.9	
TOTAL	0.9 %	0.9 %	
Change in FTE Positions	0.0	0.0	

The **agency** requests FY 2023 expenditures of \$968,062, all from special revenue funds. This is an increase of \$8,917, or 0.9 percent, above the FY 2022 request. The increase is primarily attributable to increased expenditures for group health insurance, building rent, and office equipment. The agency requests 9.0 FTE positions, which is the same as the FY 2022 request. The request is detailed below by major category of expenditure:

- **Salaries and Wages.** The agency requests FY 2023 salaries and wages expenditures of \$609,059, which is an increase of \$4,020, or 0.7 percent, above the FY 2022 request. This increase is due to increased expenditures on group health insurance;
- **Contractual Services.** The agency requests FY 2023 contractual services expenditures of \$341,178, which is an increase of \$2,822, or 0.8

percent, above the FY 2022 request. The increase is due to anticipated disciplinary legal costs and travel to educational institution to discuss licensure requirements for new graduates;

- **Commodities.** The agency requests FY 2023 commodities expenditures of \$9,225, which is an increase of \$525, or 6.0 percent, above the FY 2022 request. The increase is due to increased expenditures on office supplies and parts for computer maintenance; and

- **Capital Outlay.** The agency requests FY 2023 capital outlay expenditures of \$8,600, which is an increase of \$1,550, or 22.0 percent, above the FY 2022 request. This increase is due to increased expenditures on replacement of office furniture and computer equipment.

The **Governor** concurs with the agency's request for FY 2023.

Governor's Recommended Salary and Wage Adjustments

For FY 2022, the Governor recommends adding \$31.5 million, including \$11.3 million SGF, for a 2.5 percent state employee base pay adjustment. The plan would increase salaries for classified and unclassified employees in the Executive Branch, Legislative Branch, and Judicial Branch. Legislative and elected officials would be excluded from this salary adjustment. The funds would be appropriated to and certified for distribution by the State Finance Council if approved. Employees of state universities are also not included in the proposed pay plan; however, the Governor recommends adding \$10.4 million, all SGF, to the university operating grants. This amount is equivalent to what the pay plan would have provided for university employees, but the funds are included in the Kansas Board of Regents budget for use at their discretion.

Longevity Bonus Payments. In FY 2021 and for FY 2022, the Governor recommends funding longevity bonus payments for eligible state employees at the statutory rate of \$40 per year of service, with a 10-year minimum (\$400) and a 25-year maximum (\$1,000). Classified employees hired after June 15, 2008, are not eligible for longevity bonus payments. The estimated cost for the recommended FY 2021 payment is \$3.0 million, including \$1.1 million SGF. For FY 2022, the estimated cost is \$3.1 million, including \$1.1 million SGF. **For this agency, there are no longevity payments.**

Kansas Public Employees Retirement System (KPERs). The employer retirement contribution rate, including Death and Disability contributions, for the KPERs State and School Group is scheduled to be 14.23 percent in FY 2021 and 15.09 percent for FY 2022. The FY 2021 rate excludes the 1.0 percent KPERs Death and Disability

contribution that is currently subject to a moratorium described below.

The Governor recommends the KPERs State and School Group be reamortized. The current amortization period was set by the Legislature in 1993 for 40 years. The Governor proposes the new amortization be set for 25 years beginning in FY 2022, an extension of 10 years to the current plan. Reamortization would reduce employer contributions for the KPERs State and School Group in the short term. It is estimated that resetting the amortization period to 25 years could produce budget savings of \$177.3 million, including \$158.7 million SGF, for FY 2022. The Governor's recommendation would also incorporate \$25.8 million in KPERs layering payments into the amortization schedules. **No savings from this policy are currently included in this agency's budget.**

KPERs Death and Disability Group Insurance Fund. During FY 2021, a moratorium on employer contributions to the KPERs Death and Disability Group Insurance Fund was in effect. The fund had a sufficient balance to suspend payments on a temporary basis without affecting employee benefits. The moratorium was implemented *via* the Governor's allotment authority; therefore, the Legislative and Judicial branches are currently excluded from the moratorium. The total savings for the moratorium are estimated at \$46.7 million in contributions from the SGF. Included in this amount were savings of approximately \$40.3 million from KPERs School Group contributions in the Kansas State Department of Education budget. No similar moratorium is proposed for FY 2022, requiring the addition of \$46.7 million to annualize the payments for the full fiscal year.

Funding Sources

Funding Source	Agency Req. Percent of Total FY 2022	Gov. Rec. Percent of Total FY 2022	Agency Req. Percent of Total FY 2023	Gov. Rec. Percent of Total FY 2023
State General Fund	0.0 %	0.0 %	0.0 %	0.0 %
BSRB Fee Fund	100.0	100.0	100.0	100.0
TOTAL	100.0 %	100.0 %	100.0 %	100.0 %

(Note: Totals may not add due to rounding.)

Behavioral Sciences Regulatory Board Fee Fund Analysis

In accordance with KSA 74-7505, the Behavioral Sciences Regulatory Board Fee Fund replaced the Psychologists Fee Fund and the Social Work Examiners Fee Fund in 1980. The new Board inherited the previous Boards' authorities to set, charge, and collect fees. As a fee-funded agency, the Board currently contributes the lesser of 10.0 percent or \$100,000 of fee revenue to the SGF for the cost of support services provided by other state agencies under KSA 75-3170a, and the remainder is held in the agency fee fund. These retained fees fund the agency.

Beginning in FY 2016, the Board approved a reduction in all fees for all licensees. All application and renewal fees were reduced by \$50 and original licensure fees were eliminated. The Board instituted these fee reductions to reduce the Fund's carry forward balance. During the 2018 Legislative Session, the agency decided to begin action to lower fees. The agency pursued reductions in the license renewal fees and application fees, which were approved by the Joint Committee on Administrative Rules and Regulations; a public hearing was held; and the agency finalized approval of the regulations. The new fee amounts became effective March 15, 2019.

Resource Estimate	Actual FY 2020	Agency Estimate FY 2021	Governor Rec. FY 2021	Agency Request FY 2022	Governor Rec. FY 2022	Agency Request FY 2023	Governor Rec. FY 2023
Beginning Balance	\$ 2,267,056	\$ 2,163,296	\$ 2,163,296	\$ 1,895,738	\$ 1,895,738	\$ 1,701,332	\$ 1,701,332
Revenue	743,767	691,713	691,713	764,739	764,739	683,800	683,800
Transfers in	0	0	0	0	0	0	0
<i>Funds Available</i>	<u>\$ 3,010,823</u>	<u>\$ 2,855,009</u>	<u>\$ 2,855,009</u>	<u>\$ 2,660,477</u>	<u>\$ 2,660,477</u>	<u>\$ 2,385,132</u>	<u>\$ 2,385,132</u>
Less:							
Expenditures	\$ 847,527	\$ 959,271	\$ 959,271	\$ 959,145	\$ 959,145	\$ 968,062	\$ 968,062
Transfers Out	0	0	0	0	0	0	0
Off-Budget Expenditures	0	0	0	0	0	0	0
Ending Balance	<u>\$ 2,163,296</u>	<u>\$ 1,895,738</u>	<u>\$ 1,895,738</u>	<u>\$ 1,701,332</u>	<u>\$ 1,701,332</u>	<u>\$ 1,417,070</u>	<u>\$ 1,417,070</u>
Ending Balance as Percent of Expenditures	255.2%	197.6%	197.6%	177.4%	177.4%	146.4%	146.4%
Month Highest Ending Balance	July <u>\$ 2,159,928</u>	July <u>\$ 1,893,842</u>	July <u>\$ 1,893,842</u>	July <u>\$ 1,700,778</u>	July <u>\$ 1,700,778</u>	July <u>\$ 1,417,070</u>	July <u>\$ 1,417,070</u>
Month Lowest Ending Balance	May <u>\$ 1,968,883</u>	May <u>\$ 1,726,331</u>	May <u>\$ 1,726,331</u>	May <u>\$ 1,550,344</u>	May <u>\$ 1,550,344</u>	May <u>\$ 1,292,593</u>	May <u>\$ 1,292,593</u>

LICENSURE FEES

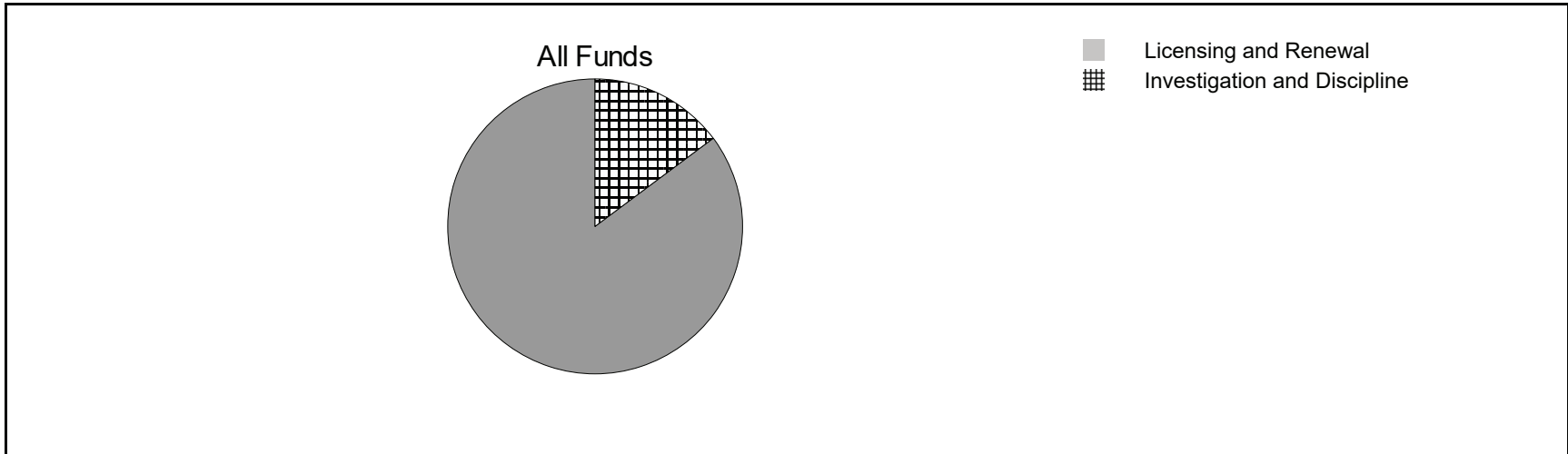
License	Current Fee	Statutory Limit
Renewal Fees:		
Social Worker (Associate & Bachelors)	\$ 50	\$ 150
Social Worker (Masters)	75	200
Social Worker (Specialist Clinical)	100	150
Masters-Level Psychologist	100	200
Clinical Psychotherapist	125	200
Psychologist	150	200
Professional Counselor	100	150
Clinical Professional Counselor	125	175
Marriage and Family Therapist	100	175
Clinical Marriage and Family Therapist	125	175
Addiction Counselor	50	150
Clinical Addiction Counselor	100	150
Applied Behavioral Analyst	70	N/A
Application Fees:		
Social Worker (Bachelors)	\$ 50	\$ 150
Social Worker (Masters)	50	150
Social Worker (Specialist Clinical)	50	150
Masters-Level Psychologist	50	200
Clinical Psychotherapist	50	200
Psychologist	175	225
Professional Counselor	50	100
Clinical Professional Counselor	50	175

LICENSURE FEES

License	Current Fee	Statutory Limit
Marriage and Family Therapist	50	150
Clinical Marriage and Family Therapist	50	175
Addiction Counselor	50	150
Clinical Addiction Counselor	50	150
Applied Behavioral Analyst	20	N/A
Original License Fees:		
Social Worker (Bachelors)	\$ 100	\$ 150
Social Worker (Masters)	150	150
Social Worker (Specialist Clinical)	150	150
Masters-Level Psychologist	150	200
Clinical Psychotherapist	150	200
Psychologist	50	150
Professional Counselor	150	175
Clinical Professional Counselor	150	175
Marriage and Family Therapist	150	175
Clinical Marriage and Family Therapist	150	175
Addiction Counselor	100	150
Clinical Addiction Counselor	150	150
Applied Behavioral Analyst	70	N/A

PROGRAM DETAIL

EXPENDITURES BY PROGRAM—GOVERNOR'S FY 2022 RECOMMENDATION



Program	Gov. Rec. All Funds FY 2022	Percent of Total	Gov. Rec. SGF FY 2022	Percent of Total
Licensing and Renewal	\$ 817,148	85.2 %	\$ 0	-- %
Investigation and Discipline	141,997	14.8	0	--
TOTAL	\$ 959,145	100.0 %	\$ 0	-- %

FTE POSITIONS BY PROGRAM FY 2020 – FY 2023

Program	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022	Agency Req. FY 2023	Gov. Rec. FY 2023
Licensing and Renewal	7.6	7.6	7.6	7.6	7.6	7.6	7.6
Investigation and Discipline	1.4	1.4	1.4	1.4	1.4	1.4	1.4
TOTAL	9.0	9.0	9.0	9.0	9.0	9.0	9.0

(Note: For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.)

A. Licensing and Renewal

The Licensing and Renewal program issues and renews licenses and registrations to those individuals meeting the qualifications. The program also provides information to the Legislature, the public, and other interested parties.

PERFORMANCE MEASURES

Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of New Permanent Applications Processed*	1,523	1,653	1,525	1,465	1,663	1,485
Percent of New Applications Processed within 30 Days of Receipt of All Required Information*	90.0 %	92.0 %	95.0 %	85.0 %	87.0 %	89.0 %
Number of Renewal Applications Processed*	5,812	5,177	6,279	5,873	5,201	6,089
Percent of New Applications Processed within 30 Days	91.0 %	95.0 %	96.0 %	85.0 %	89.0 %	92.0 %
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 673.3	\$ 705.4	\$ 824.3	\$ 781.7	\$ 820.7	\$ 817.1
FTE Positions	7.7	9.0	7.6	7.6	7.6	7.6

* The Governor's Office does not utilize this measure for evaluation purposes.

LICENSING AND RENEWAL
SUMMARY OF EXPENDITURES FY 2020 – FY 2023

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022	Agency Req. FY 2023	Gov. Rec. FY 2023
Expenditures:							
Salaries and Wages	\$ 488,915	\$ 527,465	\$ 527,465	\$ 528,468	\$ 528,468	\$ 532,238	\$ 532,238
Contractual Services	284,359	279,385	279,385	276,130	276,130	278,101	278,101
Commodities	6,885	9,850	9,850	7,200	7,200	7,600	7,600
Capital Outlay	1,536	3,950	3,950	5,350	5,350	7,450	7,450
Debt Service	0	0	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 781,695</u>	<u>\$ 820,650</u>	<u>\$ 820,650</u>	<u>\$ 817,148</u>	<u>\$ 817,148</u>	<u>\$ 825,389</u>	<u>\$ 825,389</u>
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 781,695</u>	<u>\$ 820,650</u>	<u>\$ 820,650</u>	<u>\$ 817,148</u>	<u>\$ 817,148</u>	<u>\$ 825,389</u>	<u>\$ 825,389</u>
Financing:							
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	781,695	820,650	820,650	817,148	817,148	825,389	825,389
TOTAL	<u>\$ 781,695</u>	<u>\$ 820,650</u>	<u>\$ 820,650</u>	<u>\$ 817,148</u>	<u>\$ 817,148</u>	<u>\$ 825,389</u>	<u>\$ 825,389</u>
FTE Positions	7.6	7.6	7.6	7.6	7.6	7.6	7.6

The **agency** estimates FY 2021 revised expenditures of \$820,650, all from special revenue funds. This is a decrease of \$11,079, or 1.3 percent, below the amount approved by the 2020 Legislature. The decrease is primarily attributable due to decreased expenditures on OITS fees and decreased attorney fees.

The **Governor** concurs with the agency's revised estimate for expenditures in FY 2021.

The **agency** requests FY 2022 expenditures of \$817,148, all from special revenue funds. This is a decrease of \$3,502, or 0.4 percent, below the FY 2021 revised estimate. The decrease is primarily attributable to decreased expenditures on building rent and computer service fees.

The **Governor** concurs with the agency's request for expenditures for FY 2022.

The **agency** requests FY 2023 expenditures of \$825,389, all from special revenue funds. This is an increase of \$8,241, or 1.0 percent, above the FY 2022 request. The increase is primarily attributable to increased expenditures on fringe benefits and computer equipment.

The **Governor** concurs with the agency's request for expenditures for FY 2023.

B. Investigation and Discipline

The Investigation and Discipline program protects the public through the timely investigation of allegations of misconduct and implementation of disciplinary actions. In addition, the program

enforces the orders of the BSRB and prevents individuals from unlawful and unauthorized practice of the professions regulated by the Board.

PERFORMANCE MEASURES						
Measure	Actual FY 2018	Actual FY 2019	Gov. Rec. FY 2020	Actual FY 2020	Gov. Rec. FY 2021	Gov. Rec. FY 2022
Number of Reports of Alleged Violations Received	126	201	182	199	195	188
Percent of Investigations Commencing within 30 Days of Receipt of Complaint*	99.0 %	95.0 %	97.0 %	90.0 %	92.0 %	95.0 %
Percent of Investigative Reports Finalized and Submitted to Complaint Review Committee within 180 Days of Receipt of Complaint*	85.0 %	94.0 %	95.0 %	86.0 %	90.0 %	95.0 %
Agency Expenditures						
All Funds (Dollars in Thousands)	\$ 0.0	\$ 0.0	\$ 127.6	\$ 65.8	\$ 138.6	\$ 142.0
FTE Positions	1.3	1.4	1.4	1.4	1.4	1.4
* The Governor's Office does not utilize this measure for evaluation purposes.						

**INVESTIGATION AND DISCIPLINE
SUMMARY OF EXPENDITURES FY 2020 – FY 2023**

Item	Actual FY 2020	Agency Est. FY 2021	Gov. Rec. FY 2021	Agency Req. FY 2022	Gov. Rec. FY 2022	Agency Req. FY 2023	Gov. Rec. FY 2023
Expenditures:							
Salaries and Wages	\$ 55,907	\$ 75,932	\$ 75,932	\$ 76,571	\$ 76,571	\$ 76,821	\$ 76,821
Contractual Services	9,925	61,364	61,364	62,226	62,226	63,077	63,077
Commodities	0	1,175	1,175	1,500	1,500	1,625	1,625
Capital Outlay	0	150	150	1,700	1,700	1,150	1,150
Debt Service	0	0	0	0	0	0	0
<i>Subtotal - Operations</i>	<u>\$ 65,832</u>	<u>\$ 138,621</u>	<u>\$ 138,621</u>	<u>\$ 141,997</u>	<u>\$ 141,997</u>	<u>\$ 142,673</u>	<u>\$ 142,673</u>
Aid to Local Units	0	0	0	0	0	0	0
Other Assistance	0	0	0	0	0	0	0
TOTAL	<u>\$ 65,832</u>	<u>\$ 138,621</u>	<u>\$ 138,621</u>	<u>\$ 141,997</u>	<u>\$ 141,997</u>	<u>\$ 142,673</u>	<u>\$ 142,673</u>
Financing:							
State General Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other Funds	65,832	138,621	138,621	141,997	141,997	142,673	142,673
TOTAL	<u>\$ 65,832</u>	<u>\$ 138,621</u>	<u>\$ 138,621</u>	<u>\$ 141,997</u>	<u>\$ 141,997</u>	<u>\$ 142,673</u>	<u>\$ 142,673</u>
FTE Positions	1.4	1.4	1.4	1.4	1.4	1.4	1.4

The **agency** estimates FY 2021 revised expenditures of \$138,621, all from special revenue funds. This is an increase of \$11,079, or 8.7 percent, above the amount approved by the 2020 Legislature. The increase is primarily attributable due to increased expenditures on contractual services for court reporter fees, expert witness fees and contract litigators.

The **Governor** concurs with the agency's revised estimate for expenditures in FY 2021.

The **agency** requests FY 2022 expenditures of \$141,997, all from special revenue funds. This is a increase of \$3,376, or 2.4 percent, above the FY 2021 revised estimate.

The increase is primarily attributable to increased expenditures on computer equipment.

The **Governor** concurs with the agency's request for expenditures for FY 2022.

The **agency** requests FY 2023 expenditures of \$142,673, all from special revenue funds. This is an increase of \$676, or 0.5 percent, above the FY 2022 request. The increase is primarily attributable to increased expenditures on fringe benefits and professional service fees.

The **Governor** concurs with the agency's request for expenditures for FY 2023.