

BOARD OF EXAMINERS IN OPTOMETRY

FY 2021 – FY 2023 BUDGET ANALYSIS

FIGURE 1
BUDGET OVERVIEW, FY 2021 – FY 2023

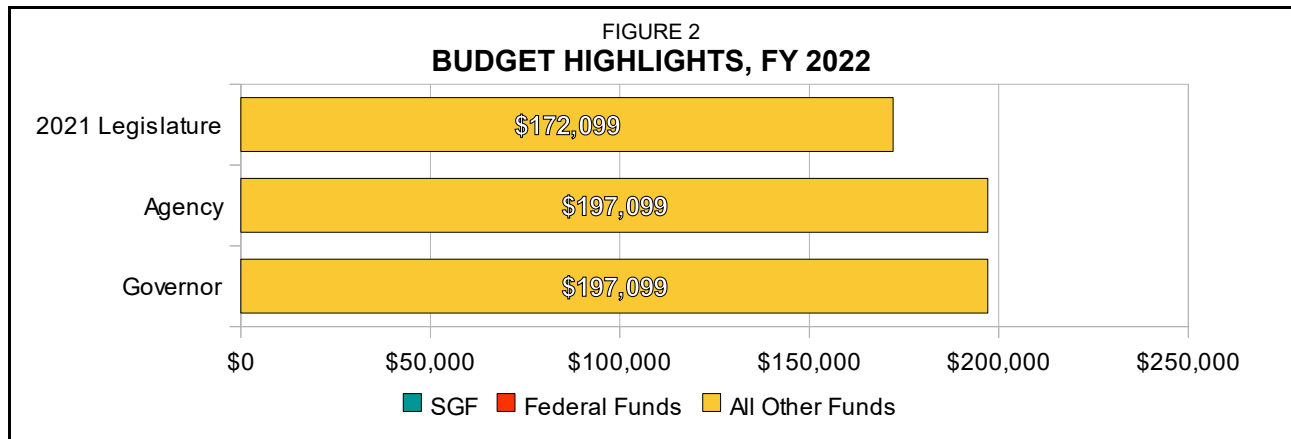
	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Operating Expenditures:					
State General Fund	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Funds	-	-	-	-	-
All Other Funds	160,702	197,099	197,099	199,618	199,618
<i>Subtotal</i>	<u>\$ 160,702</u>	<u>\$ 197,099</u>	<u>\$ 197,099</u>	<u>\$ 199,618</u>	<u>\$ 199,618</u>
Capital Improvements:					
State General Fund	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Funds	-	-	-	-	-
All Other Funds	-	-	-	-	-
<i>Subtotal</i>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
TOTAL	<u>\$ 160,702</u>	<u>\$ 197,099</u>	<u>\$ 197,099</u>	<u>\$ 199,618</u>	<u>\$ 199,618</u>
Percentage Change:					
State General Fund	-- %	-- %	-- %	-- %	-- %
All Funds	11.0 %	22.6 %	22.6 %	1.3 %	1.3 %
FTE Positions	1.0	1.0	1.0	1.0	1.0

For purposes of this analysis, full-time equivalent (FTE) positions include non-FTE permanent unclassified positions but continue to exclude temporary employees. FTE positions reflect permanent state positions equating to a 40-hour work week.

The Board of Examiners in Optometry was created in 1909 to administer and enforce the provisions of the Optometry Act to ensure the highest quality of eye care is provided to the citizens of Kansas. The Board is responsible for evaluating the qualifications of new applicants for the practice of optometry through examinations and review of qualifications.

EXECUTIVE SUMMARY

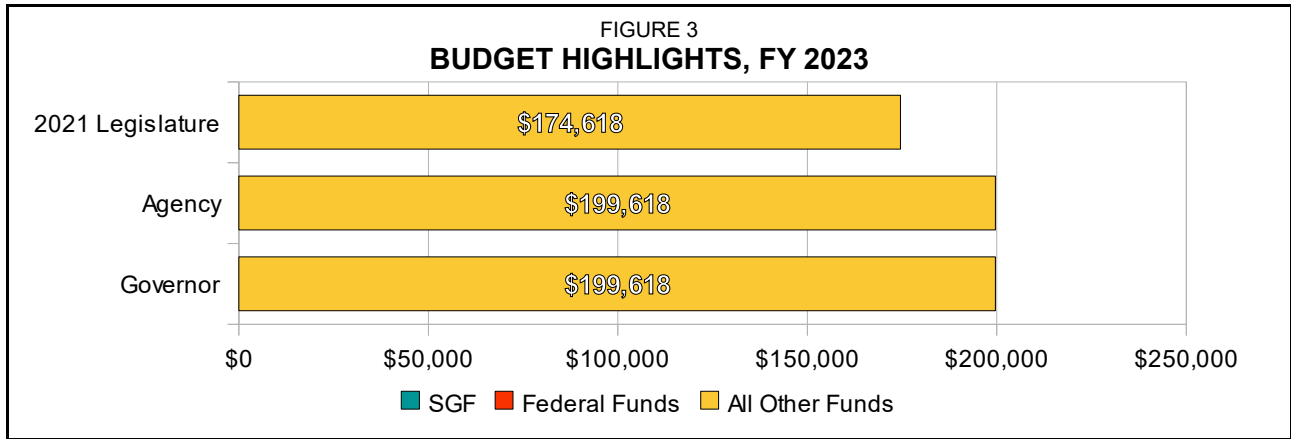
The 2021 Legislature approved a budget of \$172,099, all from special revenue funds, for the Board of Examiners in Optometry for FY 2022. No adjustments have been made subsequently to that amount.



The **agency** estimates revised expenditures of \$197,099 in FY 2022. This is an increase of \$25,000, or 14.5 percent, above the agency's FY 2022 approved amount. This increase is due to the agency's supplemental request for an unforeseen increase in legal expenditures. The agency estimates 1.0 FTE position, which is the same as the FY 2022 approved number.

The **Governor** concurs with the agency's revised estimate in FY 2022.

The 2021 Legislature approved a budget of \$174,618, all from special revenue funds, for the Board of Examiners in Optometry for FY 2023. No adjustments have been made subsequently to that amount.



The **agency** estimates revised expenditures of \$199,618, all from special revenue funds, for FY 2023. The revised estimate is an increase of \$25,000, or 14.3 percent, above the agency's FY 2023 approved amount. This increase is due to the agency's supplemental request for an unforeseen increase in legal expenditures. The agency requests 1.0 FTE position, which is the same as the FY 2022 revised estimate and the FY 2023 approved number.

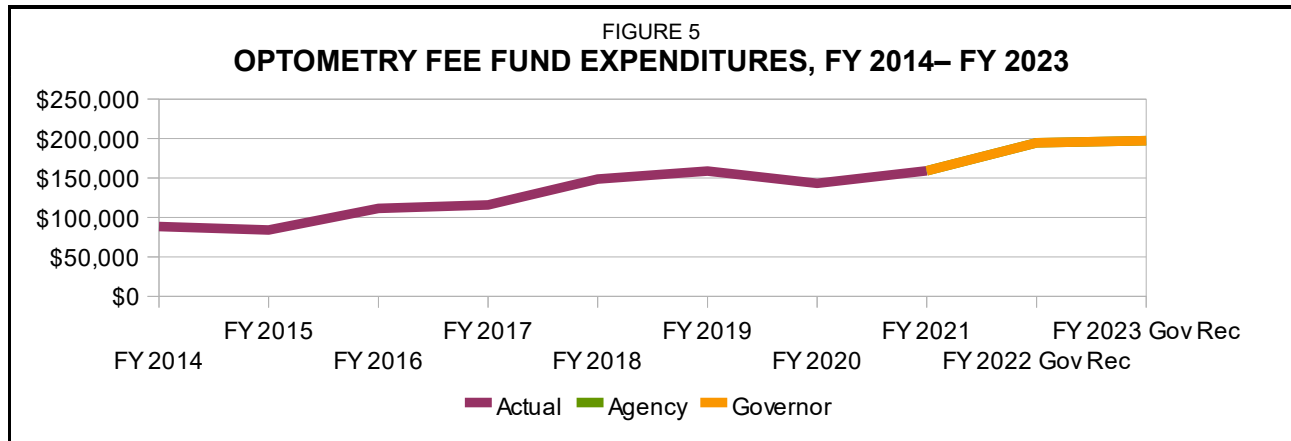
The **Governor** concurs with the agency's revised estimate for FY 2023.

EXPENDITURES AND FINANCING

FIGURE 4
BUDGET SUMMARY BY CATEGORY OF EXPENDITURE, FY 2021 – FY 2023

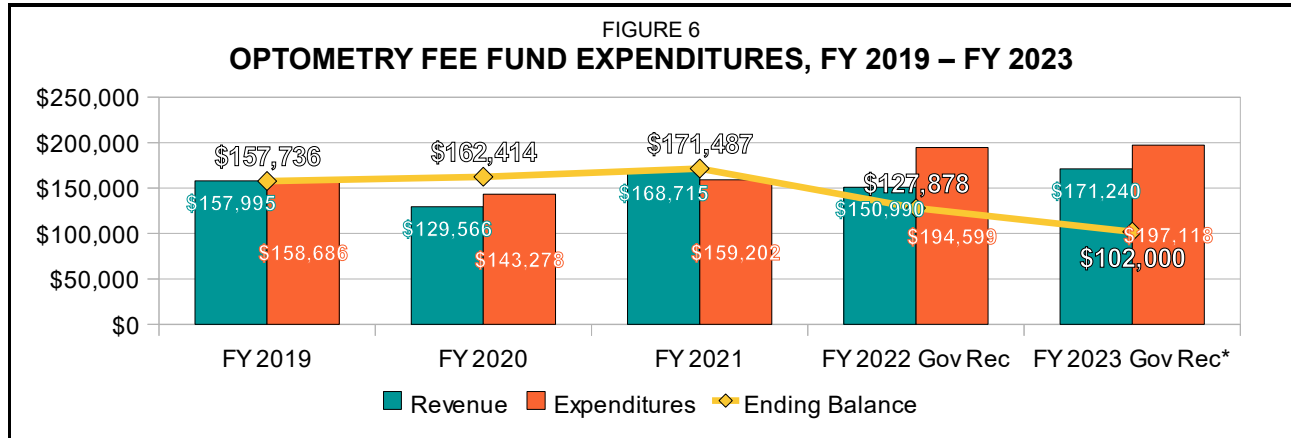
Category of Expenditure:	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Salaries and Wages	\$ 72,961	\$ 73,542	\$ 73,542	\$ 73,663	\$ 73,663
Contractual Services	80,291	121,047	121,047	123,445	123,445
Commodities	175	2,510	2,510	2,510	2,510
Capital Outlay	7,275	-	-	-	-
Debt Service Interest	-	-	-	-	-
<i>Subtotal</i>	<i>\$ 160,702</i>	<i>\$ 197,099</i>	<i>\$ 197,099</i>	<i>\$ 199,618</i>	<i>\$ 199,618</i>
Aid to Local Units	-	-	-	-	-
Other Assistance	-	-	-	-	-
<i>Subtotal—Operating</i>	<i>\$ 160,702</i>	<i>\$ 197,099</i>	<i>\$ 197,099</i>	<i>\$ 199,618</i>	<i>\$ 199,618</i>
Capital Improvements	-	-	-	-	-
Debt Service	-	-	-	-	-
Principal	-	-	-	-	-
TOTAL	\$ 160,702	\$ 197,099	\$ 197,099	\$ 199,618	\$ 199,618
Financing:					
State General Fund	\$ -	\$ -	\$ -	\$ -	\$ -
All Other Funds	160,702	197,099	197,099	199,618	199,618
TOTAL	\$ 160,702	\$ 197,099	\$ 197,099	\$ 199,618	\$ 199,618
FTE Positions	1.0	1.0	1.0	1.0	1.0

OPTOMETRY FEE FUND



The Optometry Fee Fund generates revenue from the collection of fees related to the licensing of optometrists. Of these fees, the lesser of 10.0 percent or \$100,000, is remitted to the State General Fund, and the remaining funds are credited to the Optometry Fee Fund.

OPTOMETRY FEE FUND



* For FY 2023, the lowest month ending balance for the Optometry Fee Fund will occur in February, with a balance of \$49,439.

The ending balance of the Optometry Fee Fund remained steady from FY 2019 to FY 2021. Beginning in FY 2022, the ending balance is expected to decrease. This trend is expected to continue in FY 2023. The decreased ending balance is due to increased expenditures on legal services in FY 2022 and FY 2023. The agency states the increase is the result of an unanticipated disciplinary hearing in FY 2022, as well as ongoing investigations that will likely end in litigation.

FIGURE 7
LICENSE FEES, FY 2022

License	Current Fee	Statutory Limit	Authority
Initial license examination fee	\$ 150	\$ 450	KSA 65-1505
First examination retake fee	75	150	KSA 65-1505
Subsequent examination retake fee	45	150	KSA 65-1505
Initial license	30	150	KSA 65-1505
Renewal (biennial)	450	800	KSA 65-1509
Late Fee	500	500	KSA 65-1505
Reciprocal license	150	450	KSA 65-1505
Reactivation of license	100	450	KSA 65-1509

FY 2022 ANALYSIS

FIGURE 8
SUMMARY OF BUDGET REQUEST, FY 2022

	SGF	Special Revenue Funds	All Funds	FTE
Legislative Approved:				
Amount Approved by 2021 Legislature	\$ -	\$ 172,099	\$ 172,099	1.0
1. No Changes	-	-	-	--
<i>Subtotal—Legislative Approved</i>	<i>\$ -</i>	<i>\$ 172,099</i>	<i>\$ 172,099</i>	<i>1.0</i>
Agency Revised Estimate:				
2. Supplemental—Legal Services	\$ -	\$ 25,000	\$ 25,000	--
<i>Subtotal—Agency Revised Estimate</i>	<i>\$ -</i>	<i>\$ 197,099</i>	<i>\$ 197,099</i>	<i>1.0</i>
Governor’s Recommendation:				
3. No Changes	\$ -	\$ -	\$ -	--
TOTAL	\$ -	\$ 197,099	\$ 197,099	1.0

LEGISLATIVE APPROVED

1. **NO CHANGES.** Subsequent to the 2021 Session, no adjustments were made to \$172,099 approved for the Board of Examiners in Optometry for FY 2022.

AGENCY ESTIMATE

The **agency** estimates revised expenditures of \$197,099, all from special revenue funds, in FY 2022. This is an increase of \$25,000, or 14.5 percent, above the FY 2022 approved amount. The agency requests 1.0 FTE position, which is the same as the FY 2022 approved number.

The **agency** estimate includes the following adjustment:

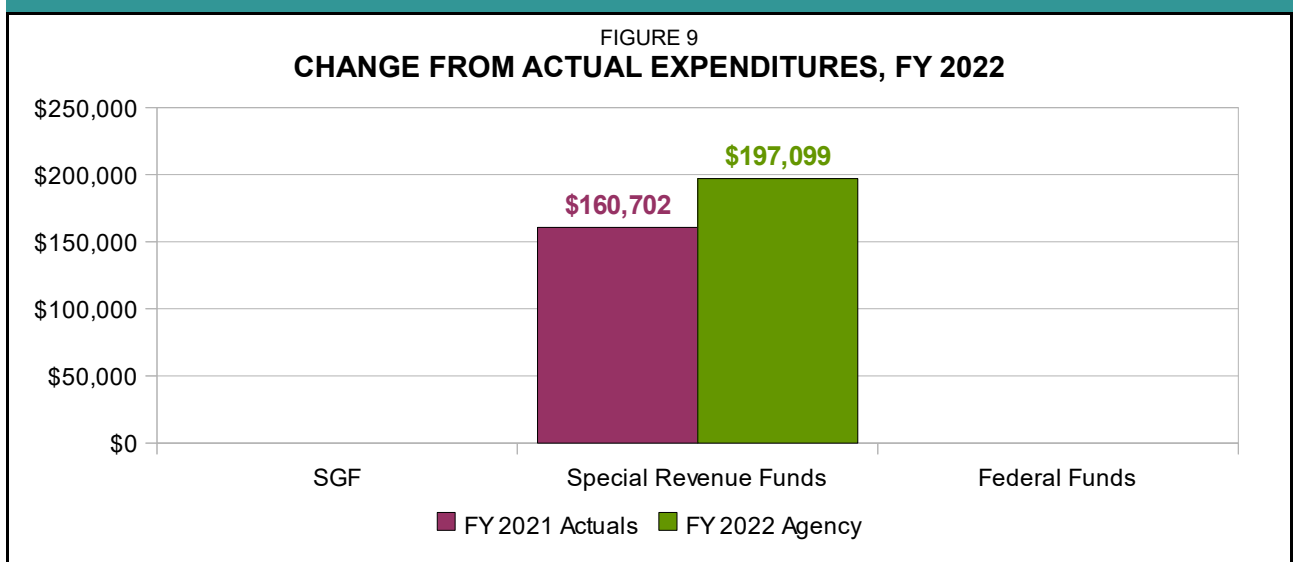
2. **SUPPLEMENTAL—LEGAL SERVICES.** The agency estimates an increase of \$25,000 above the FY 2022 approved amount for expenditures on attorneys. This increase is due to an unforeseen disciplinary hearing occurring in FY 2022, as well as other investigations with the potential to result in litigation costs above the FY 2022 approved expenditures on attorneys.

GOVERNOR’S RECOMMENDATION

The **Governor** concurs with the agency's revised estimate in FY 2022.

3. **NO CHANGES.** The Governor recommends no changes to the agency's FY 2022 revised estimate.

FY 2022 CHANGE FROM ACTUAL EXPENDITURES



The agency's FY 2022 revised estimate is \$36,397, or 22.6 percent, above the agency's FY 2021 actual expenditures. This increase is primarily due to increased legal expenditures in FY 2022. The agency states that an unforeseen disciplinary hearing necessitated increased expenditures on legal services.

FY 2023 ANALYSIS

FIGURE 10
SUMMARY OF BUDGET REQUEST, FY 2023

	SGF	Special Revenue Funds	All Funds	FTE
Legislative Approved:	\$ -	\$ 174,618	\$ 174,618	1.0
Amount Approved by 2021 Legislature				
1. No Changes	-	-	-	--
<i>Subtotal—Legislative Approved</i>	\$ -	\$ 174,618	\$ 174,618	1.0
Agency Request:				
2. Supplemental—Legal Services	\$ -	\$ 25,000	\$ 25,000	--
<i>Subtotal—Agency Estimate</i>	\$ -	\$ 199,618	\$ 199,618	1.0
Governor’s Recommendation:				
3. No Changes	\$ -	\$ -	\$ -	--
TOTAL	\$ -	\$ 199,618	\$ 199,618	1.0

LEGISLATIVE APPROVED

1. **NO CHANGES.** Subsequent to the 2021 Session, no changes were made to the \$174,618 appropriated to the Board of Optometry for FY 2023.

AGENCY REQUEST

The **agency** estimates revised expenditures of \$199,618, all from special revenue funds, for FY 2023. This is an increase of \$25,000, or 14.3 percent, above the FY 2023 approved amount. The agency requests 1.0 FTE position, which is the same as the FY 2022 revised estimate and the FY 2023 approved number.

The **agency** request includes the following adjustment:

2. **SUPPLEMENTAL—LEGAL SERVICES.** The agency estimates an increase of \$25,000 above the FY 2023 approved amount for expenditures on attorneys. This increase is due to ongoing investigations with the potential to result in litigation costs above the FY 2023 approved expenditures on attorneys.

GOVERNOR’S RECOMMENDATION

The **Governor** concurs with the agency's revised estimate for FY 2023.

3. **NO CHANGES.** The Governor recommends no changes to the agency's FY 2023 revised estimate.

SUPPLEMENTAL REQUEST

REQUEST 1
LEGAL SERVICES

Item	FY 2022	FY 2023	TOTAL
Legal Services	\$ 25,000	\$ 25,000	\$ 50,000

The agency requests an additional \$25,000 in FY 2022 and FY 2023 for additional legal services. This increase is due to an unforeseen disciplinary hearing occurring in FY 2022, as well as other investigations with the potential to result in litigation costs.

The Governor recommends adoption of this request.

PROGRAM AND PERFORMANCE MEASURES OVERVIEW

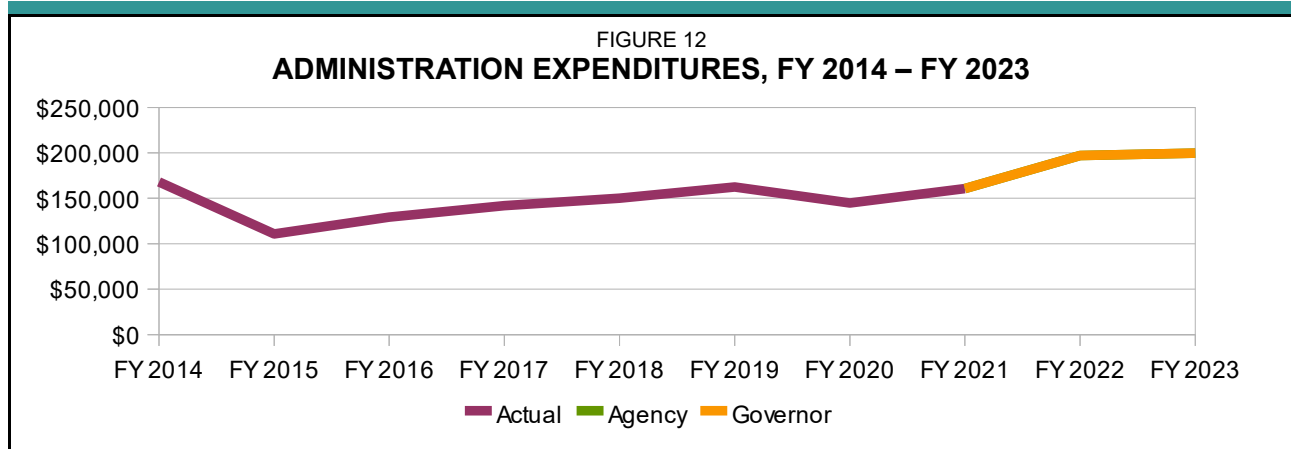
FIGURE 11
EXPENDITURES AND FTE POSITIONS BY PROGRAM, FY 2021 – FY 2023

Programs	Actual FY 2021	Agency FY 2022	Governor FY 2022	Agency FY 2023	Governor FY 2023
Expenditures:					
Administration	\$ 160,702	\$ 197,099	\$ 197,099	\$ 199,618	\$ 199,618
FTE Positions:					
Administration	1.0	1.0	1.0	1.0	1.0

PERFORMANCE MEASURES

The 2016 Legislature passed HB 2739, which outlined a three-year process for state agencies to develop and implement a system of performance budgeting using outcome measures to evaluate program effectiveness.

ADMINISTRATION



STATUTORY BASIS: • KSA 65-1501 to 65-1526

PROGRAM GOALS: • License qualified optometrists.
• Investigate reports of infractions of the law and administer appropriate resolutions.

FIGURE 13
ADMINISTRATION, PERFORMANCE MEASURES

	Actual FY 2020	Actual FY 2021	Actual 3-Year Avg.	Target FY 2022	Target FY 2023
Outcome Measure:					
1. Passing Exam Percent*	91 %	95 %	93 %	90 %	N/A
2. License Renewals	330	382	363	350	400
3. Average Days to Close Complaints	20	139	54	90	90
4. Total License Fees Received*	\$ 149,400	\$ 171,900	\$ 163,800	\$ 158,400	\$ N/A
Output Measure:					
5. Cost of Biennial License*	\$ 450	\$ 450	\$ 450	\$ 450	N/A
6. Cost of salaries and OE Tracker (Continuing Education Tracking Program) Fees per Licensee*	\$ 95.37	\$ 95.29	\$ 94.44	\$ 95.48	\$ N/A
Additional Measures:					
7. Number of Consumer Complaints	14	8	10.3	10	10
8. Costs of Complaints per Licensee*	\$ 20.09	\$ 20.07	\$ 19.86	\$ 20.12	\$ N/A
Financing					
	Actual FY 2020	Actual FY 2021		Governor FY 2022	Governor FY 2023
SGF	\$ -	\$ -		\$ -	\$ -
Federal Funds	-	-		-	-
All Other Funds	144,808	160,702		197,099	199,618
TOTAL	\$ 144,808	\$ 160,702		\$ 197,099	\$ 199,618
Percentage Change:					
SGF	-- %	-- %		-- %	-- %
All Funds	10.8 %	11.0 %		22.6 %	1.3 %
FTE Positions	1.0	1.0		1.0	1.0

*The Governor's Office does not utilize this measure for evaluation purposes.